

POLICY AND PROCEDURE	
TITLE: Drug Utilization Review	
DEPARTMENT: Medical Management - Pharmacy	POLICY #: PH21
EFFECTIVE DATE: 06/01/17	REVIEW/REVISION DATE: 05/17, 12/18, 05/19
COMMITTEE APPROVAL DATE: P&T 05/14/2019; PRC 06/19/2019 QMUM 07/10/2019	RETIRE DATE: None
PRODUCT TYPE: Medi-Cal	REPLACES: None

I. PURPOSE

To establish a process for conducting evaluations of healthcare providers prescribing, pharmacist dispensing, and patient use of medications at Health Plan of San Joaquin (HPSJ).

II. POLICY

- A. HPSJ Pharmacy Department will institute a DUR program
- B. HPSJ will participate in the Department of HealthCare Services (DHCS) State DUR Board
- C. The recommendations and advisory positions offered by the DUR Board will not be binding, however, if HPSJ chooses not to adopt such recommendations it must document the motivation.
- D. HPSJ will submit an Annual Report to DHCS

III. PROCEDURE

- A. HPSJ will enact a DUR program
 1. The DUR program will include prospective drug review and retrospective drug review
 2. The DUR program must contain an educational program. When HPSJ receives educational programs and materials from DHCS it will then distribute the educational programs and materials to their providers via HPSJ established mechanisms. HPSJ may also distribute additional educational materials or articles based on the demographics and trends specific to their beneficiaries and providers.
- B. HPSJ will engage in the State DUR Board
 1. HPSJ will actively participate in the DUR Board activities either individually or by means of an entity selected to represent multiple MCPs (e.g. California Association of Health Plans, Local Health Plans of California).
 2. Participation may include select MCP representatives volunteering to serve as DUR Board members.

- C. The recommendations and advisory positions offered by the DUR Board will not result in a mandate that MCPs adopt or implement such recommendations or advisory positions.
 - 1. MCPS will retain the ability to decide what recommendations, if any, the MCP will adopt.
 - 2. If the MCP chooses not to adopt the DUR Board recommendations, the rationale for not adopting the recommendation must be included as part of the annual reporting process mentioned below.
 - 3. The rationale will be used solely for informational purposes only and not as part of the assessment of the MCP's efficiency or compliance.
- D. HPSJ will submit an Annual Report to DHCS
 - 1. HPSJ will prepare an MCP-specific annual report describing its DUR activities and submit it to DHCS on an annual basis by April 1.
 - 2. The report will be used to identify HPSJ DUR activities that occur outside the global DUR.
 - 3. The report will include information related to the methodology by which HPSJ meet the requirements for the Prospective and Retrospective DUR.
 - 4. Additional information may be required to inform the State regarding educational DUR activities performed by HPSJ that are outside the global educational activities, the rationale for not implementing DUR Board recommended actions, and any other DUR related activities performed outside of the global DUR activities.
 - 5. The annual report will be based on a template for the MCP-specific annual report which will be developed in a separate workgroup comprised of both DHCS and MCP representatives and will be based on the information required by CMS in the global annual report submitted by the State.

IV. ATTACHMENT(S)

None

V. REFERENCES

- A. Centers for Medicare and Medicaid Services (CMS) CMS-2390-F
- B. DHCS All Plan Letter (APL 17-008)
- C. Social Security Act (SSA) Section 1927(g)
- D. Title 42, Code of Federal Regulations (CFR) part 456, subpart K
- E. Title 42, Code of Federal Regulations (CFR), Section 438.3(s)

VI. REGULATORY AGENCY APPROVALS

DHCS Approved on (pending).

VII. REVISION HISTORY

STATUS	DATE REVISED	REVISION SUMMARY
Initial	05/08/17	Creation of policy for plans based on APL 17-008.

STATUS	DATE REVISED	REVISION SUMMARY
Reviewed	12/11/18	No content change, annual review.
Reviewed	05/14/19	No content change, updated policy template, annual review.

Health Plan of San Joaquin Approval: Signatures on File in C360